

## Frequently asked client questions

### 1. What does Ashurst use AI for?

Ashurst leverages AI in various ways, including to drive efficiencies in how we work and to improve the accuracy, consistency and quality of our work product. We currently use a range of AI-powered third-party systems, solutions and tools to assist us in the conduct of legal research, document review and due diligence, data analysis and transformation, automation, translation and transcription. These are in addition to general business technologies that may incorporate AI.

### 2. Does Ashurst use generative AI or large language models (LLMs) and, if so, for what purposes?

Yes; we use generative AI tools for the same purposes as other AI tools.

Ashurst has recently onboarded and approved the use of its first generative AI tool for the conduct of legal work, Harvey.

### 3. What AI tools does Ashurst currently use?

A non-exhaustive list of AI-based tools currently used by Ashurst include:

- Research: LexisNexis, Lexis+, Westlaw, Practical Law, vLex, Doctrine and Jurimetria;
- Document review and diligence: Nuix, Reveal, Kira, Avail, HighQ, SirionLabs and Harvey;
- Data analysis and transformation: Alteryx and Python;
- Automation: ContractExpress, BRYTER and Automation Anywhere;
- Translation: DeepL and Harvey; and
- Generation: Harvey and 365 Copilot\*.

\* 365 Copilot is only being used in a limited pilot.

These tools primarily leverage machine learning forms of AI. However, vendors are increasingly incorporating more advanced, including generative, AI technologies over time. We are monitoring these developments closely and only opt-in to such additional generative AI features after a complete due diligence review and assessment.

Where relevant, we will comply with our clients' notification and approval requirements regarding the use of any new AI-based tool prior to their use with those clients.

### 4. Does Ashurst use ChatGPT or other free generative AI tools?

While Ashurst partners and staff are not prohibited from using free and publicly available generative AI tools, they may not use any Ashurst email address to create an account, nor can they input any Ashurst or client personal or confidential data into such public tools. Failure to comply may result in disciplinary action. We encourage our staff to exercise care when using free and publicly available generative AI tools.

### 5. Does Ashurst use AI tools that use client data to train their models?

No. As a matter of policy, Ashurst does not approve the use of AI tools where our or our clients' data is co-mingled with data from any other person or if our or our clients' data is used to train underlying shared AI models.

## 6. Where is client data stored?

Any client data that is used in connection with Ashurst-approved generative AI tools is stored only on Ashurst on-premise or private cloud servers or on third-party cloud-based servers that are segregated for Ashurst-only data. Where relevant, we maintain storage on geo-located servers to meet the data residency requirements of our clients.

## 7. How does Ashurst manage the risks associated with using AI tools?

Ashurst maintains a risk register relating to its adoption and use of AI, and takes such mitigating steps as are deemed appropriate to help manage identified risks.

Use of all technology-based tools are subject to Ashurst's policies on data privacy, information security and supervision, our Global Generative AI Usage Policy and other specific guidance, as well as tool-specific user terms and conditions that may be implemented on a case-by-case basis.

We also require all partners and staff to complete a mandatory learning covering how to use AI at Ashurst in compliance with these policies as well as our legal and professional obligations.

## 8. How is Ashurst complying with its professional obligations when supervising work that is conducted with the assistance of AI tools?

Our obligations are the same whether client work is produced with or without the use of any AI-based assistance.

The use of approved AI tools does not in any way modify or reduce the firm's responsibility for the quality, accuracy and completeness of advice and services provided by the firm, nor diminish our duties and professional obligations to our clients (in particular, but not limited to, the firm's duties and obligations with respect to confidentiality).

## 9. How does Ashurst's use of AI tools affect the privileged status of our client advice or work product?

Privilege will not be lost solely because privileged information is passed through an AI tool. We consider this topic in relation to all AI tools evaluated and onboarded, and work with our providers to ensure data inputs and outputs are not used to "train" underlying shared models and are not shared with or disclosed to third parties or retained by the provider.

Use of AI on each matter is carefully considered to ensure activities are structured appropriately to ensure privilege is maintained, where this is relevant.